SAMCO MUTUAL FUND
The Momentum House.

Sponsor: Samco Securities Limited
Trustee Company: Samco Trustee Private Limited
Investment Manager: Samco Asset Management Private Limited

Samco Mutual Fund
1003 – A, Naman Midtown, Senapati Bapat Marg, Prabhadevi (W),
Mumbai - 400 013

APPLICATION FORM FOR AUTO SWITCH

Distributor Code	Sub-Broker Code	Internal Sub-Broker Code	EUIN*	RIA CODE^
ARN -	ARN -	INTERNAL CODE	Employee Unique IDENTIFICATION NO.	

*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker".
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Distributor Code'.
^I/We, have invested in the below mentioned scheme of Samco Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transaction data feed / portfolio holdings / NAV etc. in respect of this particular transaction, to the SEBI Registered Investment Advisor (RIA) bearing the above mentioned registration number.

SIGNATURE(S)	Signature of First Applicant	Signature of Second Applicant	Signature of Third Applicant

1. UNIT HOLDER INFORMATION

Folio No. :

Name Mr/Mrs/M/s **PAN**

2. SWITCH REQUEST

From Scheme **Plan**: ☐ Regular ☐ Direct **Option**: ☐ Growth

To Scheme : **Plan**: ☐ Regular ☐ Direct **Option**: ☐ Growth

Amount (in figures) (₹) : **Or Units (in figures) :** **Or All Units :** ☐

Amount (in words) (₹) :

3. DEMAT ACCOUNT DETAILS – Mandatory to provide the demat details in case mode of holding is in demat ☐ NSDL ☐ CDSL

☐ Physical Mode ☐ Demat Mode (Mandatory to provide the demat details in case mode of holding tick as demat mode)

NSDL I N **Beneficiary A/C No.**

CDSL

Please Note: Demat Account Details of First / Sole Applicant (Name should be as per demat account) (Note: Please attach copy of Client Master List.)

4. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(S)

I/ we hereby confirm and declare that :

1. I/We read and understood the contents of the scheme information Document(s), Statement of additional Information , Key Information Memorandum and Addenda, including level of risk and descriptions there of (product labeling) the sections on "Prevention of Money laundering and Know your Customers" for all the schemes chosen for investment (both initial and continues offering) through this transaction form , and hereby apply to the Trustee/s of Samco Mutual Fund for units of the relevant schemes and agree abide by the terms and conditions, rules and regulations of scheme/s.
2. I/We am/are eligible investor/s as per the scheme related documents and am/ are authorized to make this switch-in as per the Constitutive documents/ authorization/s.
3. I /we authorize Samco Mutual Fund / AMC/ Trustee/ RTA and other service provider / intermediaries in case of any dispute regarding the eligibility, validity, and authorization of my/our transactions.
4. The ARN Holder (AMFI Register distributor) has disclosed to me /us all the commissions (in the form of trail commission of any other mode), payable to him /them for different competing schemes from amongst which the schemes are being recommended to me /us.
5. I/ we hereby confirm that I/We have not been offered/ communicated any indicative portfolio and or any indicative yield by the fund /AMC/ distributor for this investment.

SIGNATURE(S) Applicants must sign as per mode of holding in the Folio	Signature of First Applicant	Signature of Second Applicant	Signature of Third Applicant

Date D D M M Y Y Y Y **Place**

SAMCO MUTUAL FUND
The Momentum House.

ACKNOWLEDGEMENT SLIP

(To be filled by the Unit holder)

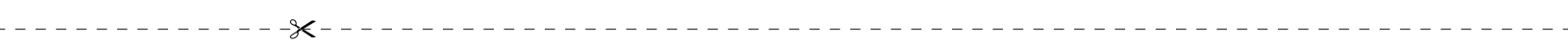
Received from: Mr. / Ms. / M/s **Folio No.**

From Scheme **Plan**: ☐ Regular ☐ Direct **Option**: ☐ Growth

To Scheme : **Plan**: ☐ Regular ☐ Direct **Option**: ☐ Growth

☐ **All Units** **Or** **No. of Units** **Or** **Amount in (₹)**

Collection Center's Stamp & Receipt Date and Time

INSTRUCTIONS

1. Auto Switch facility is a Special facility available to the existing investors having investments in Samco Overnight Fund whereby investors can switch their units during the NFO Period.
2. Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website www.samcomf.com carefully before investing.
3. This Auto Switch Form can be used only by Existing Unit holders having investments in Samco Overnight Fund to switch their units. Samco Mutual Fund reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time.
4. Existing unit holders having investments in schemes other than Samco Overnight Fund and wish to switch their investments have to fill up Transaction slip switch Section.
5. The application for Auto Switch out will be processed on the closing day of the NFO .
6. All valid Auto Switch requests would be treated as switch out / redemption for the Transferor Scheme.
7. The units from Samco Overnight Fund will be switched, subject to provisions mentioned in the Scheme Information Document. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes allotment date.
8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number.
10. The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP.
11. For Direct Investments, please mention “Direct” in the column “Distributor Code”.
12. This facility will not be available for units which are under any Lien/Pledged.
13. The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
14. Samco Mutual Fund reserves the right to amend this facility or withdraw this facility or change the procedures from time to time.
15. Investors are requested to clearly mention the Plan and the Option in which investment is to be made. In case of any ambiguity, the application will be liable to be rejected.